

## Final Examination – Solutions

Name: \_\_\_\_\_ Number: \_\_\_\_\_  
 (as on attendance sheets)

**Calculators may be used for simple arithmetic operations only!**

1. (15 pts.) Let  $a_n$  be the number of ways to distribute  $n$  apples to 3 children so that no child gets fewer than 2 apples and no child gets more than 5 apples. Find the generating function for  $a_n$  and use it to compute  $a_{10}$ .

The generating function for the possible results for one child is

$$x^2 + x^3 + x^4 + x^5.$$

The generating function for the problem is the cube of that,

$$\begin{aligned} (x^2 + x^3 + x^4 + x^5)^2 &= x^6(1 + x + x^2 + x^3)^3 \\ &= x^6 \left( \frac{1 - x^4}{1 - x} \right)^3. \end{aligned}$$

We need the coefficient of  $x^{10}$  in its Maclaurin expansion, hence the coefficient of  $x^4$  in

$$\begin{aligned} \left( \frac{1 - x^4}{1 - x} \right)^3 &= (1 - x^4)^3 \sum_{j=0}^{\infty} \binom{3 - 1 + j}{j} x^j \\ &= [1 - 3x^4 + O(x^8)] \left[ 1 + 3x + \dots + \frac{3 \cdot 4 \cdot 5 \cdot 6}{4!} x^4 + \dots \right] \\ &= \dots + (-3 + 15)x^4 + \dots \end{aligned}$$

Thus  $a_{10} = 12$ . (Here  $O(x^8)$  stands for the irrelevant terms of degree 8 or higher;  $O$  refers here to the asymptotic behavior near 0, not  $\infty$ .)

2. (40 pts.) Solve these recursion relations.

(a)  $a_n = a_{n-1} + 2a_{n-2}$ ,  $a_0 = 5$ ,  $a_1 = 1$ .

Try  $a_n = r^n$ , getting the condition

$$0 = r^2 - r - 2 = (r + 1)(r - 2).$$

Thus the general solution is  $a_n = b_1(-1)^n + b_2 2^n$ . From the initial data, we must have

$$\begin{aligned} 5 &= b_1 + b_2, & b_1 &= 3, \\ 1 &= -b_1 + 2b_2; & b_2 &= 2. \end{aligned}$$

Thus

$$a_n = 3(-1)^n + 2 \cdot 2^n = 3(-1)^n + 2^{n+1}.$$

$$(b) \quad a_n = 6a_{n-1}, \quad a_0 = 7.$$

$$a_n = 7 \cdot 6^n.$$

$$(c) \quad a_n = 6a_{n-1} - 9a_{n-2}. \quad [\text{Find the general solution.}]$$

In the usual way, we get

$$0 = r^2 - 6r + 9 = (r - 3)^2.$$

Since there is only one root, we must multiply the solution  $r^n$  by  $n$  to get a second independent solution. The general solution is

$$a_n = b_1 3^n + b_2 n 3^n.$$

$$(d) \quad a_n - a_{n-1} = n, \quad a_0 = 0.$$

*Method 1:* Temporarily write  $n$  as  $j$ :  $a_j - a_{j-1} = j$ . Sum this equation from  $j = 1$  to  $j = n$ :

$$a_n - a_0 = \sum_{j=1}^n j = \frac{n(n+1)}{2}.$$

Thus  $a_n = \frac{1}{2}n(n+1)$ .

*Method 2:* First solve the corresponding homogeneous equation,  $a_n^h - a_{n-1}^h = 0$ . It's obvious that the solution is independent of  $n$ , and indeed the official maneuver of trying  $a_n^h = r^n$  yields  $r - 1 = 0$ , hence  $a_n^h = r^1 = 1$  (times an arbitrary constant). If we now seek a particular solution of the nonhomogeneous equation, we must start with  $An + B$  (because the nonhomogeneous term contains  $n$ ) and then promote it to

$$a_n = An^2 + Bn$$

(because the homogeneous solution is 1). Substituting into the recursion relation, we find

$$\begin{aligned} n &= An^2 + Bn - A(n-1)^2 - B(n-1) \\ &= A(2n-1) + B \\ &= 2An + (B-A). \end{aligned}$$

Thus

$$\begin{aligned} 2A &= 1, & A &= \frac{1}{2}, \\ B - A &= 0; & B &= \frac{1}{2}. \end{aligned}$$

Thus  $a_n = \frac{1}{2}n^2 + \frac{1}{2}n + C$ . The initial condition  $0 = a_0 = C$  gives us (the hard way) the same solution as before.

3. (24 pts.) Let  $\mathcal{O}(n)$  stand for “ $n$  is an odd integer”. Consider the statement

$p$ : If the product of two integers is odd, then both integers are odd.

(a) Rewrite  $p$  in symbols ( $\mathcal{O}$ , quantifiers, and logical connectives).

$$\forall m \forall n [\mathcal{O}(mn) \rightarrow \mathcal{O}(m) \wedge \mathcal{O}(n)].$$

(b) State the *converse* of  $p$  both in symbols and in smooth English.

$$\forall m \forall n [\mathcal{O}(m) \wedge \mathcal{O}(n) \rightarrow \mathcal{O}(mn)].$$

The product of two odd integers is always odd.

(Of course, considerable variation in the English sentences is possible.)

(c) State the *negation* of  $p$  both in symbols and in smooth English.

$$\exists m \exists n [\mathcal{O}(mn) \wedge \neg(\mathcal{O}(m) \wedge \mathcal{O}(n))].$$

(Rewriting the last clause as  $(\neg\mathcal{O}(m) \wedge \neg\mathcal{O}(n))$  is optional.)

There exist two integers, not both odd, whose product is odd.

(d) Which of those three statements is/are true?

The proposition and its converse are true. (“Odd” means “not divisible by 2”.) The negation is false.

4. (14 pts.) Show (by any method) that  $\sum_{k=0}^{700} \binom{700}{k} = 2^{700}$ .

You’ve seen this one before. See the solutions for Test A, Question 1(b).

5. (28 pts.) For each of these “divide and conquer” recursions, either find an asymptotic estimate on  $T(n)$  using the master theorem, or explain why the master theorem does not apply. *Hint:* What is  $4^3$ ?

(a)  $T(n) = 3T\left(\frac{n}{2}\right) + n.$

Because  $L \equiv \log_2 3 > 1$ , one has  $s(n) \equiv n \in O(n^{L-\epsilon})$ , so  $T \in \Theta(n^L)$  by Part 1 of the theorem.

(b)  $T(n) = 8T\left(\frac{n}{4}\right) + \frac{n\sqrt{n}}{\lg n}.$

$L \equiv \log_4 8 = \frac{3}{2}$ , since  $4^{3/2} = 2^3 = 8$ . Now we observe that  $s(n) \equiv n^L / \lg n \notin \Theta(n^L)$ , but  $s(n) \notin O(n^{L-\epsilon})$  either. Therefore, the theorem doesn’t apply.

(c)  $T(n) = 8T\left(\frac{n}{4}\right) + \frac{n\sqrt{n}}{2}.$

Again  $L = \frac{3}{2}$ . This time  $s(n) \equiv \frac{1}{2}n^L \in \Theta(n^L)$ , so  $T \in \Theta(n^{3/2} \lg n)$  by Part 2 of the theorem.

6. (20 pts.) [Leave answers in terms of factorials.]

(a) How many permutations of the English alphabet (26 letters) contain the block YES?

Treat YES as a single letter. There are 23 other letters. The number of permutations is  $24!$ .

- (b) What is the probability that a randomly chosen permutation of the alphabet contains neither YES nor NO?

24! permutations contain YES, and by the analogous argument 25! contain NO. But some of these contain *both*, and we don't want to count those twice. If YES and NO are treated as single letters, there are  $26 - 5 + 2$  letters and hence  $23!$  such permutations. Therefore, the probability of avoiding YES and NO is

$$\frac{26! - 25! - 24! + 23!}{26!}.$$

7. (15 pts.) Define a sequence by

$$t_0 = 1, \quad t_1 = 2, \quad t_2 = 4, \quad t_n = t_{n-1} + t_{n-2} + t_{n-3} \quad \text{for } n \geq 3.$$

Prove by induction that  $t_n \geq 1.5^n$  for all  $n \geq 0$ .

Base:  $1.5^0 = 1 = t_0$ ,  $1.5^1 = \frac{3}{2} < 2 = t_1$ ,  $1.5^2 = \frac{9}{4} < 4 = t_2$ .

Induction: For  $n > 2$ ,

$$\begin{aligned} t_n &= t_{n-1} + t_{n-2} + t_{n-3} \\ &\geq 1.5^{n-1} + 1.5^{n-2} + 1.5^{n-3} \\ &= 1.5^{n-3} \left( 1 + \frac{3}{2} + \frac{9}{4} \right) \\ &= 1.5^{n-3} \cdot \frac{19}{4} \\ &\geq 1.5^{n-3} \cdot \frac{27}{8} = 1.5^n. \end{aligned}$$

8. (20 pts.) Let  $F$  be this set of functions:

$$\left\{ n + \ln n, 2^n, \sqrt{n^3 + 1}, \frac{n^2 + 1}{n + 2}, 4^n, \frac{n^2 + 1}{\sqrt{n}} \right\}$$

Let  $\mathcal{R}$  be the equivalence relation defined on  $F$  by

$$(f, g) \in \mathcal{R} \iff f \in \Theta(g).$$

- (a) What is the partition of  $F$  induced by  $\mathcal{R}$ ? [Answer should be a *list of subsets* (“cells”) of  $F$ .]

Note that  $2^n = e^{n \ln 2}$  and  $4^n = e^{n \ln 4}$  are in separate cells. The other two cells are easily identified as  $\Theta(n)$  and  $\Theta(n^{3/2})$ . So the partition is

$$\left\{ n + \ln n, \frac{n^2 + 1}{n + 2} \right\}, \quad \{2^n\}, \quad \{4^n\}, \quad \left\{ \sqrt{n^3 + 1}, \frac{n^2 + 1}{\sqrt{n}} \right\}.$$

- (b) Take one representative from each cell of this partition and order these representatives from the slowest to the fastest (in terms of growth at infinity).

$$n + \ln n \prec \sqrt{n^3 + 1} \prec 2^n \prec 4^n$$

9. (24 pts.) Let  $A$  be a set and let  $\mathcal{U}$  be the set of all subsets of  $A$ . ( $\mathcal{U}$  is sometimes denoted by  $\mathcal{P}(A)$  or  $2^A$ .) Define a relation  $\mathcal{R}$  on  $\mathcal{U}$  by

$$(X, Y) \in \mathcal{R} \iff X \cap Y = \emptyset.$$

Answer the follow questions, **with justifications**:

- (a) Is  $\mathcal{R}$  reflexive?

NO —  $X \cap X = \emptyset$  is never true except for  $X = \emptyset$ .

- (b) Is  $\mathcal{R}$  antisymmetric?

NO —  $X \cap Y = \emptyset$  requires, rather than forbids,  $Y \cap X = \emptyset$ .

- (c) Is  $\mathcal{R}$  symmetric?

YES — see justification for (b).

- (d) Is  $\mathcal{R}$  transitive?

NO — if  $X \neq \emptyset$  but  $X \cap Y = \emptyset$ , then  $Y \cap X = \emptyset$ , but  $X \cap X = \emptyset$  does not follow.

- (e) Is  $\mathcal{R}$  an equivalence relation?

NO — it is not reflexive nor transitive.

- (f) Is  $\mathcal{R}$  an ordering?

NO — it is not antisymmetric nor transitive, so it isn't a strict ordering (not to mention an inclusive one, which also requires reflexivity).

**Remark:** Above we have tacitly assumed that  $A \neq \emptyset$ . In the trivial special case where  $A$  itself is the empty set, the answer to all the questions is YES!