

DIFFERENTIAL GEOMETRY 1
PROBLEM SET 3 SOLUTIONS

Lee: 3-1, 3-4, 3-5, 3-7, 3-8

CFB: A.2.3:1-3, omit the symplectic group for exercise 1

Lee 3-1: If \mathcal{M} and \mathcal{N} are smooth connected manifolds and $F : \mathcal{M} \rightarrow \mathcal{N}$ is a smooth map such that $F_ : T_p\mathcal{M} \rightarrow T_{F(p)}\mathcal{N}$ is identically 0 for all p then show F is constant.*

Proof:

Let $p \in \mathcal{M}$ and fix a coordinate neighborhood of p say (U, φ) and let x^i denote the coordinates here. Similarly, let (V, ψ) be a coordinate neighborhood of $F(p)$ in \mathcal{N} with coordinates y^a .

Then $\left\{ \frac{\partial}{\partial x^i} \Big|_p \right\}$ are a basis for $T_p\mathcal{M}$ and $\left\{ \frac{\partial}{\partial y^a} \Big|_{F(p)} \right\}$ form a basis for $T_{F(p)}\mathcal{N}$.

Letting \hat{F} denote the coordinate representation of F and \hat{p} the coordinate representation of p we compute

$$\begin{aligned} 0 &= F_* \left(\frac{\partial}{\partial x^i} \Big|_p \right) = F_* \left((\varphi^{-1})_* \frac{\partial}{\partial x^i} \Big|_{\varphi(p)} \right) \\ &= (\psi^{-1} \circ \psi \circ F \circ \varphi^{-1} \circ \varphi)_* \left(\frac{\partial}{\partial x^i} \Big|_{\varphi(p)} \right) \\ &= (\psi^{-1})_* \hat{F}_* \left(\frac{\partial}{\partial x^i} \Big|_{\varphi(p)} \right) \\ &= (\psi^{-1})_* \frac{\partial \hat{F}^a}{\partial x^i} (\hat{p}) \frac{\partial}{\partial y^a} \Big|_{\hat{F}(\varphi(p))} \\ &= \frac{\partial \hat{F}^a}{\partial x^i} (\hat{p}) \frac{\partial}{\partial y^a} \Big|_{F(p)} \end{aligned}$$

Since $\left\{ \frac{\partial}{\partial y^a} \Big|_{F(p)} \right\}$ is a basis of $T_{F(p)}\mathcal{N}$ we can conclude that $\frac{\partial \hat{F}^a(\hat{p})}{\partial x^i} = 0$ for all a, i and so \hat{F}^a is constant on $\varphi(U \cap F^{-1}(V))$.

Consequently F is constant on $U \cap F^{-1}(V)$.

Since this construction can be performed for any $p \in \mathcal{M}$ we can conclude that F is a continuous discrete map on \mathcal{M} .

However, \mathcal{M} is connected and so F must be constant.

■

Lee 3-4: Let $C \subset \mathbb{R}^2$ be the unit circle and $S \subset \mathbb{R}^2$ the boundary of the square of side-length 2 centered at $(0,0)$ i.e.

$$S = \{(x, y) \mid \max(|x|, |y|) = 1\}$$

Show that there is a homeomorphism $F : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ such that $F(C) = S$ but that there is no diffeomorphism with the same property.

Proof:

Consider the ray from the origin passing through $e^{i\theta}$ for $\theta \in (-\frac{\pi}{4}, \frac{7\pi}{4}]$. Such a ray is unique and will intersect S in exactly one point given by

$$f(e^{i\theta}) = \begin{cases} (1, \tan \theta) & -\frac{\pi}{4} < \theta \leq \frac{\pi}{4} \\ (\cot \theta, 1) & \frac{\pi}{4} < \theta \leq \frac{3\pi}{4} \\ (-1, -\tan(\theta)) & \frac{3\pi}{4} < \theta \leq \frac{5\pi}{4} \\ (-\cot \theta, 1) & \frac{5\pi}{4} < \theta \leq \frac{7\pi}{4} \end{cases}$$

This can be extended to a map $f : \mathbb{R} \rightarrow \mathbb{R}$ by $f(re^{i\theta}) = rf(e^{i\theta})$.

Next note that the trig functions are continuous from $\mathbb{R} \rightarrow \mathbb{R}$ and so f can be seen to be continuous.¹

However, by construction f is bijective. Indeed, f maps origin centered circles of radius r bijectively onto origin centered squares of side-length $2r$ and sends the origin to the origin. Furthermore, one can construct the inverse of f and see that it is smooth. In particular when restricting f to a circle of radius r we see that f is continuous bijection from a compact set (a circle) to a Hausdorff space (a square) and hence is a homeomorphism.

Next, we note that there can be no such diffeomorphism. Indeed, if such a diffeomorphism existed then it would restrict to a diffeomorphism from C to S but S is not smooth at $(1,1)$ which gives a contradiction. A more rigorous argument is as follows: If F is a diffeomorphism from C to S then we can restrict to the subset of C that gets mapped onto a connected neighborhood of $(1,1)$ in S .

This subset of S is necessarily connected (since F is a homeomorphism) and hence can be parameterized by an arc $\gamma : (-1,1) \rightarrow S$ (you can take γ to be given by $t \mapsto e^{i\pi t + \varphi_0}$ for some fixed $\varphi_0 \in \mathbb{R}$ if you like). Then we necessarily have

$$F \circ \gamma(t) = \begin{cases} (1, y(t)) & -1 < t \leq t_0 \\ (x(t), 1) & -t_0 < t < 1 \end{cases}$$

By reparameterization of γ we may, without loss of generality, assume that $t_0 = 0$.

Then computing we find

$$F_*\gamma'(t) = \begin{cases} (0, y'(t)) & -1 < t \leq 0 \\ (x'(t), 0) & 0 < t < 1 \end{cases}$$

¹One might worry about the point $\frac{\pi}{4}, \frac{3\pi}{4}, \frac{5\pi}{4}$ and $\frac{7\pi}{4}$ but these essentially resolve quadrant ambiguities inherent in \tan and so one can show that f is continuous at these values of θ

In particular, we must have $x'(0) = y'(0) = 0$ and so $F_*\gamma'(0) = (0, 0)$.

But F a diffeomorphism implies that F_* is a linear bijection and hence $\gamma'(0) = 0$, a contradiction.

■

Lee 3-5 Consider \mathbb{S}^3 as a subset of \mathbb{C}^2 under the usual identification of \mathbb{C}^2 with \mathbb{R}^4 . For each $z = (z^1, z^2) \in \mathbb{S}^3$, define a curve $\gamma_z : \mathbb{R} \rightarrow \mathbb{S}^3$ by

$$\gamma_z(t) = (e^{it}z^1, e^{it}z^2)$$

- (a) Compute the coordinate representation of $\gamma_z(t)$ in stereographic coordinates, and use this to show that γ_z is a smooth curve.
- (b) Compute $\gamma'_z(t)$ in stereographic coordinates, and show that it is never zero.

Proof:

Part a

Recall that stereographic coordinates are defined by:

$$\sigma(x^1, x^2, x^3, x^4) = \frac{1}{1 - x^4} (x^1, x^2, x^3) : \mathbb{S}^3 \setminus \{(0, 0, 0, 1)\} \rightarrow \mathbb{R}^3$$

$$\tilde{\sigma}(x) = -\sigma(-x) : \mathbb{S}^3 \setminus \{(0, 0, 0, -1)\} \rightarrow \mathbb{R}^3$$

$$\sigma^{-1}(x^1, x^2, x^3) = \frac{1}{1 + |x|^2} (2x^1, 2x^2, 2x^3, |x|^2 - 1) : \mathbb{R}^3 \rightarrow \mathbb{S}^3 \setminus \{(0, 0, 0, 1)\}$$

$$\tilde{\sigma}^{-1}(x) = -\sigma^{-1}(-x) : \mathbb{R}^3 \rightarrow \mathbb{S}^3 \setminus \{(0, 0, 0, -1)\}$$

So under the usual identification of \mathbb{C}^2 with \mathbb{R}^4 we have

$$\gamma_z(t) = (x^1 \cos t - y^1 \sin t, y^1 \cos t + x^1 \sin t, x^2 \cos t - y^2 \sin t, y^2 \cos t + x^2 \sin t)$$

where $z^j = x^j + iy^j$. Then direct computation gives

$$\sigma \circ \gamma_z(t) = \frac{1}{1 - y^2 \cos t - x^2 \sin t} (x^1 \cos t - y^1 \sin t, y^1 \cos t + x^1 \sin t, x^2 \cos t - y^2 \sin t)$$

$$\tilde{\sigma} \circ \gamma_z(t) = \frac{1}{1 + y^2 \cos t + x^2 \sin t} (x^1 \cos t - y^1 \sin t, y^1 \cos t + x^1 \sin t, x^1 \cos t - y^1 \sin t)$$

Which is smooth by the quotient rule.

Part b

Differentiating our result from part (a) we find

$$\begin{aligned}\sigma \circ \gamma'_z(t) &= \frac{1}{(-1 + y^2 \cos t + x^2 \sin t)^2} (x^1 x^2 + y^1 y^2 - x^1 \sin t - y^1 \cos t, \\ &\quad x^2 y^1 - x^1 y^2 + x^1 \cos t - y^1 \sin t, \\ &\quad (x^2)^2 + (y^2)^2 - y^2 \cos t - x^2 \sin t) \\ \tilde{\sigma} \circ \gamma'_z(t) &= \frac{1}{(1 + y^2 \cos t + x^2 \sin t)^2} (-x^1 x^2 - y^1 y^2 - x^1 \sin t - y^1 \cos t, \\ &\quad -x^2 y^1 + x^1 y^2 + x^1 \cos t - y^1 \sin t, \\ &\quad -(x^2)^2 - (y^2)^2 - y^2 \cos t - x^2 \sin t)\end{aligned}$$

Showing this never vanishes is an exercise in algebra.

■

Lee 3-7 Let \mathcal{M} be a smooth manifold then for any $p \in \mathcal{M}$ let \mathcal{C}_p^∞ denote the algebra of germs of smooth real valued functions at p . Then let D_p denote the vector space of derivations of \mathcal{C}_p^∞ at p . Show that $T_p\mathcal{M}$ is naturally isomorphic to D_p .

Proof:

Consider the map $\Phi : T_p\mathcal{M} \rightarrow D_p$ defined by $X \mapsto \tilde{X}$ where $\tilde{X} \in D_p$ is defined by $\tilde{X}[f]_p = Xf$ where $[f]_p$ is the germ of f at p .

First we need to show that \tilde{X} is well defined. To do this we let $f \neq g$ be smooth real valued functions such that $[f]_p = [g]_p$.

Then on some neighborhood U of p we know that $f|_U = g|_U$ by definition of germs of functions. Then we have $Xf = Xg$ by Lee's Proposition 3.6.

Consequently, $\tilde{X}[f]_p = \tilde{X}[g]_p$ and thus \tilde{X} is well defined.

Next we need to show that \tilde{X} is a derivation of \mathcal{C}_p^∞ at p i.e. that the map sends $T_p\mathcal{M}$ into D_p as claimed. To do this we let $[f]_p, [g]_p \in \mathcal{C}_p^\infty$ and let $c, d \in \mathbb{R}$ then we have

$$\begin{aligned}\tilde{X}(c[f]_p + d[g]_p) &= \tilde{X}([cf + dg]_p) \quad \text{by definition of germs} \\ &= X(cf + dg) \quad \text{by definition of } \tilde{X} \\ &= c(Xf) + d(Xg) \quad \text{by linearity of } X \in T_p\mathcal{M} \\ &= c\tilde{X}[f]_p + d\tilde{X}[g]_p \quad \text{by definition of } \tilde{X}\end{aligned}$$

Furthermore,

$$\begin{aligned}
 \tilde{X} \left([f]_p [g]_p \right) &= \tilde{X} [fg]_p \quad \text{by definition of germs} \\
 &= X (fg) \quad \text{by definition of } \tilde{X} \\
 &= (Xf)g(p) + f(p)(Xg) \quad \text{since } X \in T_p\mathcal{M} \\
 &= g(p)\tilde{X}[f]_p + f(p)\tilde{X}[g]_p \quad \text{by definition of } \tilde{X}
 \end{aligned}$$

Therefore, $\tilde{X} \in D_p$.

It now remains to show that this map is a linear isomorphism. To this end let $X, Y \in T_p\mathcal{M}$, $c, d \in \mathbb{R}$ and $[f]_p \in \mathcal{C}_p^\infty$. Then computing we find

$$\begin{aligned}
 \Phi(cX + dY)[f]_p &= (cX + dY)f \\
 &= (cX)f + (dY)f \\
 &= c(Xf) + d(Yf) \\
 &= c\Phi(X)[f]_p + d\Phi(Y)[f]_p
 \end{aligned}$$

Therefore Φ is linear.

Next, let $\delta \in D_p$. Then define $Xf = \delta[f]_p$. This uniquely defines a vector $X \in T_p\mathcal{M}$ by Lee's Lemma 3.11 and the definition of $T_p\mathcal{M}$ on page 65.

Finally, $X \in \ker \Phi$ if and only if $\tilde{X}[f]_p = 0$ for all $[f]_p \in \mathcal{C}_p^\infty$.

Applying the definition of \tilde{X} we see that $X \in \ker \Phi$ if and only if $Xf = 0$ for all smooth real valued functions f defined in a neighborhood of p .

But this can only happen if $X \equiv 0$. This can be made transparent by working in local coordinates x^i in a neighborhood of p and expanding X in a basis $\left\{ \frac{\partial}{\partial x^i} \Big|_p \right\}$.

Therefore, $\Phi : T_p\mathcal{M} \rightarrow D_p$ is a linear bijection and hence $T_p\mathcal{M}$ and D_p are isomorphic as vector spaces.

■

Lee 3-8 Let \mathcal{M} be a smooth manifold. For any $p \in \mathcal{M}$ let \mathcal{C}_p denote the set of all smooth curves $\gamma : J \rightarrow \mathcal{M}$ such that $0 \in J$ and $\gamma(0) = p$. Now define an equivalence relation on \mathcal{C}_p by $\gamma_1 \sim \gamma_2$ if $(f \circ \gamma_1)'(0) = (f \circ \gamma_2)'(0)$ for all smooth f defined on some neighborhood of p . Let \mathcal{V}_p denote the set of equivalence classes. Show that $\Phi : \mathcal{V}_p \rightarrow T_p\mathcal{M}$ defined by $\Phi[\gamma] = \gamma'(0)$ is well defined and gives a 1-1 correspondence.

Proof:

First we need to show that Φ is well defined. To do this we fix $[\gamma_1] = [\gamma_2] \in \mathcal{V}_p$ such that $\gamma_1 \neq \gamma_2$.

Then we know $(f \circ \gamma_1)'(0) = (f \circ \gamma_2)'(0)$ for all smooth f defined on a neighborhood of p .

In particular, if we take f to be the map $f(x) = 1$ then f is smooth and the chain rule implies $(f \circ \gamma)'(0) = \gamma'(0)$ whence $\gamma_1'(0) = \gamma_2'(0)$.²

Therefore $\Phi[\gamma_1] = \Phi[\gamma_2]$ and hence Φ is well defined.

Next we want to show that Φ is injective. To do this we let $[\gamma_1], [\gamma_2] \in \mathcal{V}_p$ such that $\Phi[\gamma_1] = \Phi[\gamma_2]$.

Therefore $\gamma_1'(0) = \gamma_2'(0)$.

So if f is a smooth function defined on a neighborhood of p then we know $(f \circ \gamma_1)'(0) = f_*\gamma_1'(0) = f_*\gamma_2'(0) = (f \circ \gamma_2)'(0)$.

Since this was true for any smooth function defined on a neighborhood of p we can conclude that $[\gamma_1] = [\gamma_2]$ in \mathcal{V}_p and hence Φ is injective.

Finally, we need to show that Φ is surjective. To do this we let $X \in T_p\mathcal{M}$.

Then by Lee's Lemma 3.11 we know that $\exists \gamma$ a smooth curve on \mathcal{M} such that $\gamma(0) = p$ and $\gamma'(0) = X$.

Therefore $X = \gamma'(0) = \Phi[\gamma]$.

Since X was arbitrary we can conclude that Φ is surjective.

■

²alternatively one can take f to be the i^{th} coordinate function defined on some coordinate neighborhood of p . This then implies $(\gamma_1^i)'(0) = (\gamma_2^i)'(0)$ for each i .

CFB: A.2.3.1 Show that

$SL(V) = \{g \in V \otimes V^* \mid \det(g) = 1\}$
 $O(V, Q) = \{g \in V \otimes V^* \mid Q(v, w) = Q(gv, gw) \ \forall v, w \in V\}$ for a nondegenerate bilinear form $QSO(V, Q)$
 are matrix Lie groups. i.e. that they are smooth submanifolds of $GL(V)$ that are closed under multiplication and inverses.

Proof:

First we'll show that each of these are subgroups of $GL(V)$.

For $SL(V)$ we let $g, h \in SL(V)$ then $\det(gh) = \det(g)\det(h) = 1$. Furthermore, $h \in SL(V)$ implies $h \in GL(V)$ and so let h^{-1} denote the inverse of h in $GL(V)$ then $\det(h^{-1}) = \frac{1}{\det h} = 1$. Thus $gh, h^{-1} \in SL(V)$ for all $g, h \in SL(V)$. Therefore $SL(V)$ is a subgroup of $GL(V)$.

For $O(V, Q)$ let $g, h \in O(V, Q)$, let h^{-1} be the inverse of h in $GL(V)$ and let $v, w \in V$ be arbitrary vectors. Then $h(v), h(w), h^{-1}(v), h^{-1}(w) \in V$ and so we have

$$\begin{aligned} Q((gh)v, (gh)w) &= Q(g(hv), g(hw)) \\ &= Q(hv, hw) \quad \text{since } g \in O(V, Q) \\ &= Q(v, w) \quad \text{since } h \in O(V, Q) \end{aligned}$$

and

$$\begin{aligned} Q(h^{-1}v, h^{-1}w) &= Q(h(h^{-1}v), h(h^{-1}w)) \quad \text{since } h \in O(V, Q) \\ &= Q(v, w) \quad \text{since } hh^{-1} = 1 \in GL(V) \end{aligned}$$

Therefore $gh, h^{-1} \in O(V, Q)$ for all $g, h \in O(V, Q)$ and thus $O(V, Q)$ is a subgroup of $GL(V)$.

Finally, note that the intersection of two subgroups is again a subgroup and so $SO(V, Q) = O(V, Q) \cap SL(V)$ is a subgroup of $GL(V)$.

So it remains to show that these subgroups are submanifolds. Again we proceed case by case beginning with $SL(V)$.

First note that for $\det : GL(V) \rightarrow \mathbb{R}^\times$ we have $SL(V) = \det^{-1}\{1\}$.³

Then computing the differential one finds that for any $A, B \in GL(V)$ we have

$$d(\det)_A(B) = \det(A) \operatorname{tr}(A^{-1}B)$$

So if we take $A = B$ then $d(\det)_A(A) = n \det(A)$ which cannot vanish since $A \in GL(V)$. Thus $d(\det) \neq 0$ on $GL(V)$ and hence is a submersion. In particular 1 is a regular value of \det and so $SL(V)$ is an embedded submanifold of dimension $(n^2 - 1)$.

Next, if we fix a basis v_1, \dots, v_n of V with dual basis v^i such that $Q(v^i, v_j) = \delta_i^j$ then as

³One can use this to establish that $SL(V)$ is a subgroup since $\det : GL(V) \rightarrow \mathbb{R}^\times$ is a homomorphism of multiplicative groups and $SL(V)$ is its kernel.

we'll see in this basis the elements of $O(V, Q)$ are exactly those elements $A \in GL(V)$ such that $AA^T = \mathbb{I}$ (see the next problem for details) that is we can work with $GL_n\mathbb{R}$ instead of $GL(V)$ and $O(n, \mathbb{R})$ instead of $O(V, Q)$. Furthermore, working in this basis, we define $S_n\mathbb{R} \subset GL(V)$ to be the set of symmetric matrices. Then we consider the map

$$\Phi : GL(n, \mathbb{R}) \rightarrow S_n\mathbb{R} = \varphi(A) = AA^T$$

Next, we note that $T_A GL(n, \mathbb{R}) \cong M_n\mathbb{R}$, the set of $n \times n$ matrices over \mathbb{R} and $T_{\Phi(A)} S_n\mathbb{R} \cong S_n\mathbb{R}$.

So if we fix $A \in GL(n, \mathbb{R})$ and $B \in M_n\mathbb{R} \cong T_A GL(n, \mathbb{R})$ then we can form the curve $\gamma = A + Bt$ and use it to compute $\Phi_* : T_A GL(n, \mathbb{R}) \rightarrow T_{\Phi(A)} S_n\mathbb{R}$ as follows

$$\Phi_* B = \Phi_* \gamma'(0) = (\Phi \circ \gamma)'(0) = \frac{d}{dt} \Big|_{t=0} \Phi(A + tB) = B^T A + A^T B$$

So for arbitrary $C \in S_n\mathbb{R}$ we have that $\Phi_* \left(\frac{1}{2}C\right) = \frac{1}{2}(C + C^T) = C$ and so \mathbb{I} is a regular value of Φ .

Therefore $O(n, \mathbb{R}) = \Phi^{-1}(\mathbb{I})$ is an embedded submanifold.

Finally, one can show that $SO(V, Q)$ is simply a connected component of $O(V, Q)$ and hence is a submanifold.

■

CFB A.2.3.1 Suppose v_1, \dots, v_n is an orthonormal basis with respect to Q determine which matrices correspond to $SL(V)$, $O(V, Q)$ and $SO(V, Q)$.

Proof:

Since the determinant function is independent of basis choice we can immediately deduce that $SL(V) \cong SL_n\mathbb{R}$.

Next, letting $[A_i^j]$ be the matrix representation of A with respect to this basis we have

$$A = A_i^j v_j \otimes v^i$$

where we're enforcing Einstein summation convention and we have v^j dual to v_i . Then we have

$$\begin{aligned}
\delta_{ab} &= Q(v_a, v_b) \\
&= Q(Av_a, Av_b) \\
&= Q(A_i^j v_j \otimes v^i(v_a), A_k^\ell v_\ell \otimes v^k(v_b)) \\
&= A_i^j A_k^\ell Q(v_j \otimes v^i(v_a), v_\ell \otimes v^k(v_b)) \quad \text{by bilinearity of } Q \\
&= A_a^j A_b^\ell Q(v_j, v_k) \quad \text{since } v^\alpha(v_\beta) = \delta_\beta^\alpha \forall \alpha, \beta \\
&= A_a^j A_b^\ell \delta_{j,k} \\
&= A_a^j A_b^j \\
&= (AA^T)_a^b
\end{aligned}$$

Thus $AA^T = \mathbb{I}$ in this basis. So we can conclude that $O(V, Q) \cong O(n, \mathbb{R})$.

Finally, since $SO(V, Q) = O(V, Q) \cap SL(V)$ we have $SO(V, Q) \cong SO(n, \mathbb{R})$.

■
CFB A.2.3.3 Every matrix in $SO(3)$ represents a rotation fixing a line through the origin in \mathbb{R}^3 . Is the same true for matrices in $SO(4)$?

Proof:

Let $A \in SO(n, \mathbb{R})$ then we have

$$\begin{aligned}
\det(A - \mathbb{I}) &= \det(A^T A (A - \mathbb{I})) \quad \text{Since } AA^T = \mathbb{I} \\
&= \det(A) \det(A^T A - A^T) \\
&= \det(-(A^T - \mathbb{I})) \quad \text{since } \det(A) = 1 \text{ and } AA^T = \mathbb{I} \\
&= (-1)^3 \det((A - \mathbb{I})^T) = \det(A - \mathbb{I})
\end{aligned}$$

Since our field is not of characteristic two we can conclude that $\det(A - \mathbb{I}) = 0$ and consequently 1 is an eigenvalue of A .

Thus $\exists v \in V$ such that $Av = v$ and hence the eigenspace of v is fixed i.e. any $A \in SO(n, \mathbb{R})$ fixes some linear subspace of \mathbb{R}^3 aka a line.

This is not true of $SO(4, \mathbb{R})$ to see this consider the matrix

$$\begin{pmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

This matrix has eigenvalues $\pm i$ each with multiplicity 2 and so this matrix fixes no real linear subspace of \mathbb{R}^4 (if it did this would lead to a real eigenvalue).

■