Complex rotation numbers and renormalization

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May 2, 2022

Let *F* be a lift of $f : \mathbb{R}/\mathbb{Z} \to \mathbb{R}/\mathbb{Z}$ to \mathbb{R} .

$$\operatorname{rot}(f) = \lim_{n \to \infty} \frac{F^n(x)}{x} = \lim_{n \to \infty} \frac{\# \text{ turns around } \mathbb{R}/\mathbb{Z} \text{ under } n \text{ iterates}}{n}$$

f has a periodic orbit \Leftrightarrow rot f is rational.

[Denjoy] C^2 -smooth f is continuously conjugate to the rotation by rot f if rot f is irrational.

[Arnold, Herman, Yoccoz] $f \in C^{\omega}$ is analytically conjugate to the rotation by rot f if rot f is a Herman number.



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Let $f : \mathbb{R}/\mathbb{Z} \mapsto \mathbb{R}/\mathbb{Z}$ be an analytic circle diffeomorphism.

- Idea: let us add a *complex* shift to f, $f_{\omega} = f + \omega$.
- Take the quotient space of the annulus $0 < \text{Im } z < \text{Im } \omega$ in \mathbb{C}/\mathbb{Z} by
- We obtain a *complex torus* $T_{F+\omega}$ with marked generators.
- Consider its modulus $\tau_f(\omega)$ the complex rotation number of $f + \omega$.



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- **Example:** If f(x) is a rotation by ϕ , then $\tau_f(\omega) = \omega + \phi$.
- **Remark:** τ_f is holomorphic.
- Arnold's conjecture, 1978:

$$\lim_{\omega \to a \in \mathbb{R}} \tau_f(\omega) = \operatorname{rot}(f + a)$$

- Ghys's question: is this true for any irrational rotation number?
- What happens for the rational rotation number?

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- f + a is hyperbolic $\Rightarrow \hat{\tau}_f(a) \in \mathbb{H}$. (Stairs)
- f + a is parabolic $\Rightarrow \hat{\tau}_f(a) = \operatorname{rot}(f + a)$. (Endpoints of stairs)
- Bubbles are (generically) self-similar near rational points.
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Zero bubbles for perturbations of $z \mapsto \frac{az+b}{cz+d}$, approximation.



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Lavaurs maps — through the eggbeater

Fact: $\mathcal{R}(f + a) \rightarrow L_c$ as $a \rightarrow 0$ where L_c are Lavaurs maps, $c \in \mathbb{R}/\mathbb{Z}$.





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- \Rightarrow bubbles are small near the golden ratio (Gorbovickis, NG; in progress).
- \Rightarrow "rot f = Herman number" is an analytic condition (Risler's theorem).
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