

Genera of non-algebraic leaves of polynomial foliations of \mathbb{C}^2

Based on a joint work with Yu. Kudryashov

Nataliya Goncharuk
natalka@mccme.ru

Department of Mathematics
Higher School of Economics

AMS-EMS-SPM joint meeting, 2015

- 1 Motivation and problem statement
 - Polynomial foliations of \mathbb{C}^2
 - Monodromy group at infinity
 - Main Theorems
- 2 A leaf with many handles
- 3 Leaves with infinitely many handles
- 4 Result on limit cycles

Notation

Denote by \mathcal{A}_n the set of foliations of \mathbb{C}^2 given by

$$\dot{x} = p(x, y),$$

$$\dot{y} = q(x, y),$$

where p, q are polynomials, $\deg p \leq n, \deg q \leq n$.

Convention

We consider only $n \geq 2$.

Notation

Denote by \mathcal{A}_n the set of foliations of \mathbb{C}^2 given by

$$\dot{x} = p(x, y),$$

$$\dot{y} = q(x, y),$$

where p, q are polynomials, $\deg p \leq n, \deg q \leq n$.

Convention

We consider only $n \geq 2$.

Extension to $\mathbb{C}P^2$

Change of coordinates

$$u = \frac{1}{x}; \quad v = \frac{y}{x}; \quad d\tau = -u^{n-1}dt$$

$$\begin{cases} \dot{u} = u^{n+1}P\left(\frac{1}{u}, \frac{v}{u}\right) & =: u\tilde{P}(u, v) \\ \dot{v} = vu^n P\left(\frac{1}{u}, \frac{v}{u}\right) - u^n Q\left(\frac{1}{u}, \frac{v}{u}\right) & =: H(u, v). \end{cases}$$

The leaf at infinity

Let $\{a_1, \dots, a_{n+1}\}$ be the roots of $H(0, v)$. Generically, $a_i \neq a_j$. $(0, a_j)$ are singularities of the extended foliation, and $L_\infty = \{u = 0\} \setminus \{(0, a_j) \mid 1 \leq j \leq n+1\}$ is its leaf.

Extension to $\mathbb{C}P^2$

Change of coordinates

$$u = \frac{1}{x}; \quad v = \frac{y}{x}; \quad d\tau = -u^{n-1}dt$$

$$\begin{cases} \dot{u} = u^{n+1}P\left(\frac{1}{u}, \frac{v}{u}\right) & =: u\tilde{P}(u, v) \\ \dot{v} = vu^n P\left(\frac{1}{u}, \frac{v}{u}\right) - u^n Q\left(\frac{1}{u}, \frac{v}{u}\right) & =: H(u, v). \end{cases}$$

The leaf at infinity

Let $\{a_1, \dots, a_{n+1}\}$ be the roots of $H(0, v)$. Generically, $a_i \neq a_j$. $(0, a_j)$ are singularities of the extended foliation, and $L_\infty = \{u = 0\} \setminus \{(0, a_j) \mid 1 \leq j \leq n+1\}$ is its leaf.

Extension to $\mathbb{C}P^2$

Change of coordinates

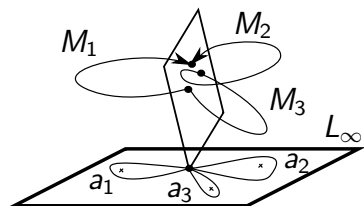
$$u = \frac{1}{x}; \quad v = \frac{y}{x}; \quad d\tau = -u^{n-1}dt$$

$$\begin{cases} \dot{u} = u^{n+1}P\left(\frac{1}{u}, \frac{v}{u}\right) & =: u\tilde{P}(u, v) \\ \dot{v} = v u^n P\left(\frac{1}{u}, \frac{v}{u}\right) - u^n Q\left(\frac{1}{u}, \frac{v}{u}\right) & =: H(u, v). \end{cases}$$

The leaf at infinity

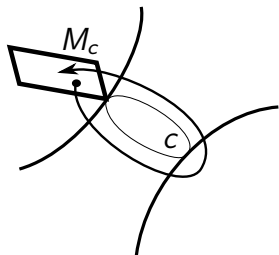
Let $\{a_1, \dots, a_{n+1}\}$ be the roots of $H(0, v)$. Generically, $a_i \neq a_j$. $(0, a_j)$ are singularities of the extended foliation, and $L_\infty = \{u = 0\} \setminus \{(0, a_j) \mid 1 \leq j \leq n+1\}$ is its leaf.

Monodromy group at infinity



Choose $O \in L_\infty$ and take loops $\gamma_j \subset L_\infty$ around a_j starting from O .

The *monodromy (pseudo)group at infinity* is generated by the monodromy maps $M_j := M_{\gamma_j}$ along γ_j .



Definition

A *limit cycle* is a homotopy class $[c]$ of a closed loop c on a leaf such that $M_c \neq id$

Limit cycles correspond to isolated fixed points of monodromy maps.

Definition

A set of limit cycles of a foliation is called *homologically independent* if for any leaf L all the cycles located on this leaf are linearly independent in $H_1(L)$.

Generic monodromy groups and generic foliations

Generic pseudogroup in $(\mathbb{C}, 0)$

- Orbits are dense in $(\mathbb{C}, 0)$
- Infinite number of isolated fixed points
- Rigidity

Generic foliation from \mathcal{A}_n

- Leaves are dense in $\mathbb{C}P^2$
- Infinite number of independent limit cycles
- Rigidity

Generic foliations of \mathcal{A}_n

Theorem (M. Khudai-Verenov, 1962; Yu.Ilyashenko, 1978)

For a generic foliation from \mathcal{A}_n , all leaves are dense.

Theorem (Yu.Ilyashenko, 1978)

For $n \geq 2$, there exists a full-measure subset of \mathcal{A}_n such that each foliation from this subset possesses infinitely many homologically independent limit cycles.

Theorem (A. Shcherbakov, E. Rosales-Gonzalez, L. Ortiz-Bobadilla, 1998)

*For $n \geq 3$, there exists an **open dense** subset of \mathcal{A}_n , such that each foliation from this subset possesses infinitely many homologically independent limit cycles.*

Generic foliations of \mathcal{A}_n

Theorem (M. Khudai-Verenov, 1962; Yu.Ilyashenko, 1978)

For a generic foliation from \mathcal{A}_n , all leaves are dense.

Theorem (Yu.Ilyashenko, 1978)

For $n \geq 2$, there exists a full-measure subset of \mathcal{A}_n such that each foliation from this subset possesses infinitely many homologically independent limit cycles.

Theorem (A. Shcherbakov, E. Rosales-Gonzalez, L. Ortiz-Bobadilla, 1998)

*For $n \geq 3$, there exists an **open dense** subset of \mathcal{A}_n , such that each foliation from this subset possesses infinitely many homologically independent limit cycles.*

Generic foliations of \mathcal{A}_n

Theorem (M. Khudai-Verenov, 1962; Yu.Ilyashenko, 1978)

For a generic foliation from \mathcal{A}_n , all leaves are dense.

Theorem (Yu.Ilyashenko, 1978)

*For $n \geq 2$, there exists a **full-measure** subset of \mathcal{A}_n such that each foliation from this subset possesses infinitely many homologically independent limit cycles.*

Theorem (A. Shcherbakov, E. Rosales-Gonzalez, L. Ortiz-Bobadilla, 1998)

*For $n \geq 3$, there exists an **open dense** subset of \mathcal{A}_n , such that each foliation from this subset possesses infinitely many homologically independent limit cycles.*

Anosov conjecture

Conjecture (D.Anosov)

The countable set of leaves of a generic foliation are topological cylinders, others are topological discs

This is correct for generic *analytic* foliations in $\mathbb{C}P^2$ (T.Firsova, 2006; T.Golenishcheva-Kutuzova, 2006).

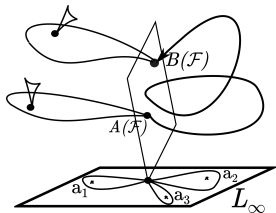
Conjecture (D.Anosov)

Generic foliation from \mathcal{A}_n has no identical cycles.

Definition

An *identical cycle* is a free homotopy class $[c]$ of a closed loop c on a leaf such that $M_c = id$

Density of separatrix connections



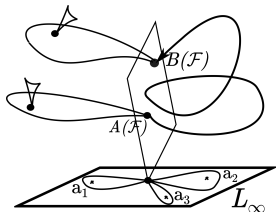
Theorem (D.Volk)

In a dense subset of \mathcal{A}_n , any foliation has a separatrix connection.

Lemma (D. Volk)

For any neighborhood $\mathcal{F} \in U \subset \mathcal{A}_n$, for two holomorphic functions $A, B: U \rightarrow S$, there exists a curve $\gamma \in L_\infty$ such that the condition $M_\gamma(A(\mathcal{F})) = B(\mathcal{F})$ defines a codimension-one analytic submanifold in U .

Density of separatrix connections



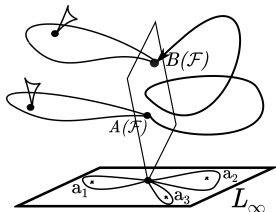
Theorem (D.Volk)

In a dense subset of \mathcal{A}_n , any foliation has a separatrix connection.

Lemma (D. Volk)

For any neighborhood $\mathcal{F} \in U \subset \mathcal{A}_n$, for two holomorphic functions $A, B: U \rightarrow S$, there exists a curve $\gamma \in L_\infty$ such that the condition $M_\gamma(A(\mathcal{F})) = B(\mathcal{F})$ defines a codimension-one analytic submanifold in U .

Density of separatrix connections



Theorem (D.Volk)

In a dense subset of \mathcal{A}_n , any foliation has a separatrix connection.

Lemma (D. Volk; NG, Yu. Kudryashov)

For any neighborhood $\mathcal{F} \in U \subset \mathcal{M}$, $\dim \mathcal{M} > 8$, for two holomorphic functions $A, B: U \rightarrow S$, there exists a curve $\gamma \in L_\infty$ such that the condition $M_\gamma(A(\mathcal{F})) = B(\mathcal{F})$ defines a codimension-one analytic submanifold in U .

Main theorems

Theorem (NG, Yu. Kudryashov)

In a dense subset of \mathcal{A}_n , any foliation has a leaf with at least $\frac{(n+1)(n+2)}{2} - 2$ handles.

Theorem (NG, Yu. Kudryashov)

Let $\mathcal{A}_n^{\text{sym}}$ be the subspace of \mathcal{A}_n , $n \geq 2$, given by

$$p(-x, y) = p(x, y), \quad q(-x, y) = -q(x, y).$$

For a foliation \mathcal{F} from some open dense subset of $\mathcal{A}_n^{\text{sym}}$, all leaves of \mathcal{F} (except for a finite set of algebraic leaves) have infinite genus.



Main theorems

Theorem (NG, Yu. Kudryashov)

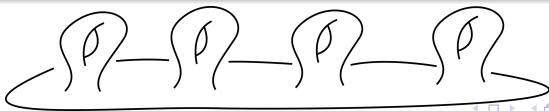
In a dense subset of \mathcal{A}_n , any foliation has a leaf with at least $\frac{(n+1)(n+2)}{2} - 2$ handles.

Theorem (NG, Yu. Kudryashov)

Let $\mathcal{A}_n^{\text{sym}}$ be the subspace of \mathcal{A}_n , $n \geq 2$, given by

$$p(-x, y) = p(x, y), \quad q(-x, y) = -q(x, y).$$

For a foliation \mathcal{F} from some open dense subset of $\mathcal{A}_n^{\text{sym}}$, all leaves of \mathcal{F} (except for a finite set of algebraic leaves) have infinite genus.



Outline

- 1 Motivation and problem statement
 - Polynomial foliations of \mathbb{C}^2
 - Monodromy group at infinity
 - Main Theorems
- 2 A leaf with many handles
- 3 Leaves with infinitely many handles
- 4 Result on limit cycles

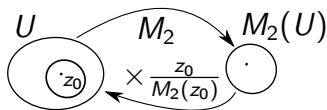
Constructing one limit cycle

Genericity assumptions

- $|M_1'(0)| \neq 1$, hence M_1 is linearizable;
- $M_2 \circ M_1 \neq M_1 \circ M_2$;
- $\langle M_1'(0), M_2'(0) \rangle$ is dense in \mathbb{C}^* .

Approximation of a linear map $z \mapsto \tau z$ (if $|M_1'(0)| < 1$)

- Choose k, l s.t. $(M_1^k \circ M_2^l)'(0) \approx \tau$.
- $\Rightarrow M_1^{-N} \circ M_1^k \circ M_2^l \circ M_1^N(z) \Rightarrow (M_1^k \circ M_2^l)'(0)z \approx \tau z$ as $N \rightarrow \infty$.



z_0 is a fixed point of $\frac{z_0}{M_2(z_0)} \cdot M_2(z)$.
Thus $M_1^{-N} \circ (M_1^k M_2^l) \circ M_1^N \circ M_2(z) \approx \frac{z_0}{M_2(z_0)} \cdot M_2(z)$ has an isolated fixed point.

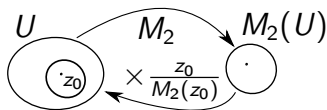
Constructing one limit cycle

Genericity assumptions

- $|M_1'(0)| \neq 1$, hence M_1 is linearizable;
- $M_2 \circ M_1 \neq M_1 \circ M_2$;
- $\langle M_1'(0), M_2'(0) \rangle$ is dense in \mathbb{C}^* .

Approximation of a linear map $z \mapsto \tau z$ (if $|M_1'(0)| < 1$)

- Choose k, l s.t. $(M_1^k \circ M_2^l)'(0) \approx \tau$.
- $\Rightarrow M_1^{-N} \circ M_1^k \circ M_2^l \circ M_1^N(z) \Rightarrow (M_1^k \circ M_2^l)'(0)z \approx \tau z$ as $N \rightarrow \infty$.



z_0 is a fixed point of $\frac{z_0}{M_2(z_0)} \cdot M_2(z)$.
Thus $M_1^{-N} \circ (M_1^k M_2^l) \circ M_1^N \circ M_2(z) \approx \frac{z_0}{M_2(z_0)} \cdot M_2(z)$ has an isolated fixed point.

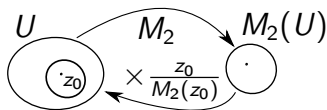
Constructing one limit cycle

Genericity assumptions

- $|M_1'(0)| \neq 1$, hence M_1 is linearizable;
- $M_2 \circ M_1 \neq M_1 \circ M_2$;
- $\langle M_1'(0), M_2'(0) \rangle$ is dense in \mathbb{C}^* .

Approximation of a linear map $z \mapsto \tau z$ (if $|M_1'(0)| < 1$)

- Choose k, l s.t. $(M_1^k \circ M_2^l)'(0) \approx \tau$.
- $\Rightarrow M_1^{-N} \circ M_1^k \circ M_2^l \circ M_1^N(z) \Rightarrow (M_1^k \circ M_2^l)'(0)z \approx \tau z$ as $N \rightarrow \infty$.



z_0 is a fixed point of $\frac{z_0}{M_2(z_0)} \cdot M_2(z)$.
Thus $M_1^{-N} \circ (M_1^k M_2^l) \circ M_1^N \circ M_2(z) \approx \frac{z_0}{M_2(z_0)} \cdot M_2(z)$ has an isolated fixed point.

Constructing one limit cycle

Genericity assumptions

- $|M_1'(0)| \neq 1$, hence M_1 is linearizable;
- $M_2 \circ M_1 \neq M_1 \circ M_2$;
- $\langle M_1'(0), M_2'(0) \rangle$ is dense in \mathbb{C}^* .

Approximation of a linear map $z \mapsto \tau z$ (if $|M_1'(0)| < 1$)

- Choose k, l s.t. $(M_1^k \circ M_2^l)'(0) \approx \tau$.
- $\Rightarrow M_1^{-N} \circ M_1^k \circ M_2^l \circ M_1^N(z) \Rightarrow (M_1^k \circ M_2^l)'(0)z \approx \tau z$ as $N \rightarrow \infty$.



z_0 is a fixed point of $\frac{z_0}{M_2(z_0)} \cdot M_2(z)$.

Thus $M_1^{-N} \circ (M_1^k M_2^l) \circ M_1^N \circ M_2(z) \approx \frac{z_0}{M_2(z_0)} \cdot M_2(z)$ has an isolated fixed point.

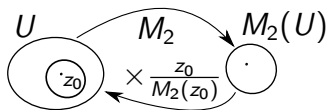
Constructing one limit cycle

Genericity assumptions

- $|M_1'(0)| \neq 1$, hence M_1 is linearizable;
- $M_2 \circ M_1 \neq M_1 \circ M_2$;
- $\langle M_1'(0), M_2'(0) \rangle$ is dense in \mathbb{C}^* .

Approximation of a linear map $z \mapsto \tau z$ (if $|M_1'(0)| < 1$)

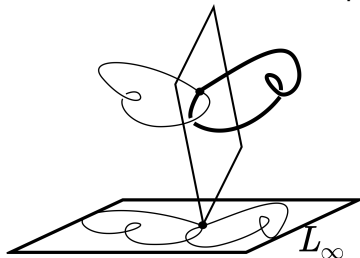
- Choose k, l s.t. $(M_1^k \circ M_2^l)'(0) \approx \tau$.
- $\Rightarrow M_1^{-N} \circ M_1^k \circ M_2^l \circ M_1^N(z) \Rightarrow (M_1^k \circ M_2^l)'(0)z \approx \tau z$ as $N \rightarrow \infty$.



z_0 is a fixed point of $\frac{z_0}{M_2(z_0)} \cdot M_2(z)$.
Thus $M_1^{-N} \circ (M_1^k M_2^l) \circ M_1^N \circ M_2(z) \approx \frac{z_0}{M_2(z_0)} \cdot M_2(z)$ has an isolated fixed point.

One handle: idea

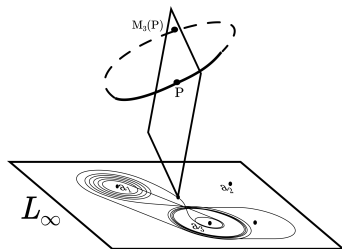
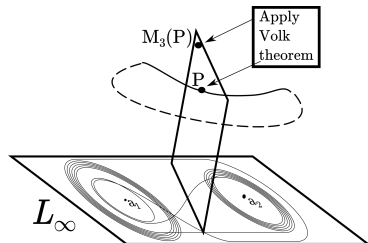
- We construct two monodromy maps with a common fixed point
- and analyze the intersections of corresponding limit cycles.



One handle is guaranteed if two limit cycles intersect transversally at one point.

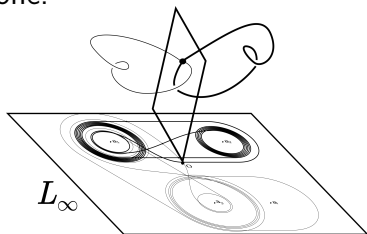
One handle: plan

- Take one limit cycle corresponding to $M_1^{-N} \circ (M_1^k M_2^l) \circ M_1^N \circ M_2(z)$.
- Use Volk theorem to obtain another one in a submanifold of codimension one.



One handle

- Take one limit cycle corresponding to $M_1^{-N} \circ (M_1^k M_2^l) \circ M_1^N \circ M_2(z)$.
- Use Volk theorem to obtain another one in a submanifold of codimension one.



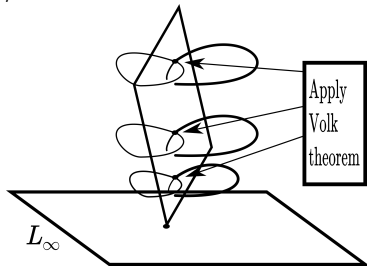
- Analyze intersections.

Result

In $\mathcal{M}_1 \subset \mathcal{A}_n$ with $\text{codim } \mathcal{M}_1 = 1$, any $\mathcal{F} \in \mathcal{M}_1$ has one handle.

Many handles

- In $\mathcal{M}_1 \subset \mathcal{A}_n$ with $\text{codim } \mathcal{M}_1 = 1$, we have one handle.
- Apply Volk lemma inside \mathcal{M}_1 .
- We get \mathcal{M}_2 , $\text{codim } \mathcal{M}_2 = 2$: each foliation $\mathcal{F} \in \mathcal{M}_1$ has 2 handles on different leaves,



- etc.
- Use Volk lemma to take all handles into one leaf.

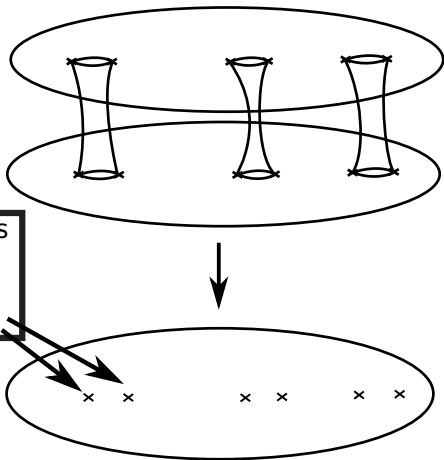
The codimension is $2g - 1$, so $g \approx \dim \mathcal{A}_n / 2 = \frac{(n+1)(n+2)}{2}$

Outline

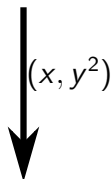
- 1 Motivation and problem statement
 - Polynomial foliations of \mathbb{C}^2
 - Monodromy group at infinity
 - Main Theorems
- 2 A leaf with many handles
- 3 Leaves with infinitely many handles
- 4 Result on limit cycles

Infinite genus for

$$p(x, y) = -p(x, -y), q(x, y) = q(x, -y)$$



a leaf of \mathcal{F}



a leaf of the well-defined foliation

Outline

- 1 Motivation and problem statement
 - Polynomial foliations of \mathbb{C}^2
 - Monodromy group at infinity
 - Main Theorems
- 2 A leaf with many handles
- 3 Leaves with infinitely many handles
- 4 Result on limit cycles

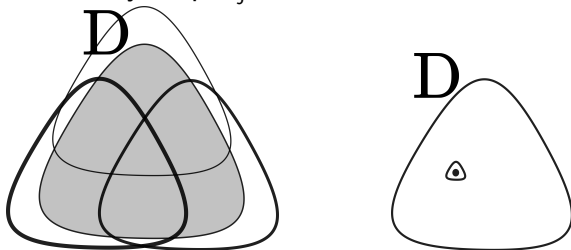
Theorem (NG, YK)

For $n \geq 2$, for a dense subset $\mathcal{A}_n^{LC} \subset \mathcal{A}_n$, each $\mathcal{F} \in \mathcal{A}_n^{LC}$ possesses an infinite sequence of limit cycles $[\gamma_j]$ such that:

- the cycles are homologically independent;
- the multipliers of the cycles tend to zero;
- the cycles are uniformly bounded, i.e., there exists a ball in \mathbb{C}^2 that includes all representatives γ_j ;
- there exists a cross-section such that γ_j intersect it in a dense subset.

Limit cycles: main idea

- Find monodromy maps f_j and the domain D detached from zero



such that the images of D under f_j cover D .

- Any long composition has a fixed point which produces a limit cycle
- Multipliers tend to 0.
- Fixed points are dense in D .

Homological independence is not trivial.

Limit cycles: homological independence (hint)

If dependent cycles are simple and disjoint, the dependence is of the form $c_{i_1} \pm \dots \pm c_{i_k} = 0$ in $H_1(L)$.

Thus the multipliers satisfy $\mu_{i_1}^{\pm 1} \cdot \dots \cdot \mu_{i_k}^{\pm 1} = 1$.

This is impossible if the multipliers

- rapidly tend to 1 (as in classical proofs)
- or rapidly tend to zero (as in the our proof).

Now the main difficulty is to avoid intersections and self-intersections of limit cycles.